

#### Practitioner's Docket No. 17396/09057CIP

**PATENT** 

Preliminary Classification
Proposed Class:
Subclass:

# 050 U.S. PTO

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application Commissioner for Patents Post Office Box 2327 Arlington, VA 22202

#### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): Jawed Asrar, Ernest F. Sanders, and Yiwei Ding

# CERTIFICATION UNDER 37 C.F.R. § § 1.8(a) and 1.10\* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional)

I hereby certify that, on the date shown below, this correspondence is being.

#### MAILING

deposited with the United States Postal Service in an envelope addressed to Box Patent Application, Commissioner for Paten	ts. P. O. Box
2327, Arlington, VA 22202	,- 0
37 CFR 1 8a 37 CFR 1 10	
T with mofficient mentage as first along will	
✓ as "Express Mail Post Office to Addressee" N	Mailing Label
No <u>ET938670044US.</u>	
TRANSMISSION	
☐ facsimile transmitted to the Patent and Trademark Office, 703	
<del></del>	
Signature Signature	
Date: February 21, 2002	

Diane Filatov

Type or print name of person certifying

<sup>\*</sup>Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f) Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1 6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

For (title): METHOD OF IMPROVING YIELD AND VIGOR OF PLANTS BY TREATMENT WITH DIAZOLE, TRIAZOLE AND STROBILURIN-TYPE FUNGICIDES

#### 1. Type of Application

This transmittal is for a continuation-in-part (C-I-P) application.

### 2. Benefit of Prior U.S. Application (35 U.S.C. Sections 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. applications as follows:

"This application is a continuation-in-part of copending application serial number 10/026,301 filed on December 19, 2001, which claimed priority to U.S. Provisional Patent Application Serial Number 60/257,502 which was filed on December 22, 2000."

#### 3. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

67 Page(s) of Specification (including title page)

8 Page(s) of Claims

1 Page(s) of abstract

#### B. Other Papers Enclosed

5 Page(s) of Patent Application Data Sheet

#### 4. Declaration or Oath

Not Enclosed.

Application is made by a person authorized under 37 C.F.R. Section 1.41(c) on behalf of all of the above-named inventors.

#### 5. Inventorship Statement

The inventorship for all the claims in this application is the same.

#### 6. Language

English

#### 7. Assignment

An assignment of the invention to follow.

## 8. Certified Copy

Not applicable.

# 9. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

		CLAIM	S AS FILED		
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16( \$740.00
Total Claims (37 CFR 1.16(c))	63	- 20 =	43 x	\$18.00	\$774.00
Independent Clair (37 CFR 1.16(b))	ns 8	- 3 =	5 x	\$84.00	\$420.00
Multiple Depende Claim(s), if any (37 CFR 1.16(d))	nt		+	\$280.00	\$0.00
Filing Fee Calculation			•	\$1,934.00	
	ent Being Made a				
To	otal Fees Enclosed	i			\$1,934.00

# 11. Method of Payment of Fees

Check in the amount of \$1,610.00 is enclosed.

#### 12. Instructions as to Overpayment

Credit Account No. 50-1196.

#### 13. Relate Back

"This application is a continuation-in-part of copending application serial number 10/026,301 filed on December 19, 2001, which claimed priority to U.S. Provisional Patent Application Serial Number 60/257,502 which was filed on December 22, 2000."

Date: Feb. 21, 2002

Reg. No.: 35,124

Tel. No.: 864-250-2238 Fax No: 803-256-7500

Signature of Practitioner

Charles E. Dunlap Nelson Mullins Riley & Scarborough, LLP

Keenan Building, Third Floor

1330 Lady Street Columbia, SC 29201

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jawed Asrar, Ernest F. Sanders, and Yiwei Ding

Application No.: Not yet assigned

Group No.: Unknown

Filed: February 21, 2002

Examiner: Unknown

For: METHOD OF IMPROVING YIELD AND VIGOR OF PLANTS BY TREATMENT WITH DIAZOLE,

TRIAZOLE, AND STROBILURIN-TYPE FUNGICIDES

Box Patent Application Commissioner for Patents P.O. Box 2327 Arlington, VA 22202



02-21-2002

U.S. Patent & TMOfc/TM Mail Rcpt Dt. #22

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number ET938670044US Date of Deposit February 21, 2002

I hereby state that the following attached papers or fees

- 1. Fee Transmittal (1 page).
- 2. New Application Transmittal (4 pages).
- 3. Patent Application Data Sheet (5 pages).
- 4. Patent Application, Title Page Abstract (76 pages).
- 5. Check for \$1,93400
- 6. Return Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed Box Patent Application, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Diane Filatov

Signature of person mailing paper or fee

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

(\$)	1934.00

Complete if Known		
Application Number	Unassigned	
Filing Date	02/21/2002	
First Named Inventor	Jawed Asrar et al.	
Examiner Name	Unknown	
Group Art Unit		
Attorney Docket No.	17396/09057CIP	

	METHOD OF PAYMENT	FEE CALCULATION (continued)			
	The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES			
ı	Deposit FO 440C	Large Small Entity Entity			
-	Account Number 50-1196	Fee Fee Fee Fee Fee Fee Pai	d		
	Deposit Nelson Mullins Riley	Code (\$) Code (\$)	7		
	Account Name	105 130 205 65 Surcharge - late filing fee or oath	$\dashv$		
==k	Charge Any Additional Fee Required Under 37 CFR 1 16 and 1 17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	$\downarrow$		
	Applicant claims small entity status	139 130 139 130 Non-English specification	-		
	See 37 CFR 1 27  2. Payment Enclosed:	147 2,520 147 2,520 For filing a request for ex parte reexamination	_		
inà Rai	Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
	FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	4		
ing.	1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	4		
	Large Entity Small Entity	116 400 216 200 Extension for reply within second month	4		
121	Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month	4		
finite finite	101 740 201 370 Utility filing fee 740.00	118 1,440 218 720 Extension for reply within fourth month	4		
den.	106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month	_		
e b	107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal	_		
era era	108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal	_		
	114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing	_		
22	SUBTOTAL (1) (\$) 740.00	138 1,510 138 1,510 Petition to institute a public use proceeding	_		
ŀ		140 110 240 55 Petition to revive - unavoidable	-11		
-	2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional	41		
	Extra Claims below Fee Paid  Total Claims 63 -20** = 43 x 18.00 = 774.00	142 1,280 242 640 Utility issue fee (or reissue)	[]		
	Total Claims 63 -20** = 43 X 18.00 = 774.00 Independent 8 - 3** = 5 X 84.00 = 420.00	143 460 243 230 Design issue fee	-		
	Claims	144 620 244 310 Plant issue fee	-		
	Williple Dependent	122 130 122 130 Petitions to the Commissioner	-		
	Large Entity Small Entity	123 50 123 50 Processing fee under 37 CFR 1.17(q)	-{		
	Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt	-		
	Code (\$) Code (\$)  103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	Ш		
	102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection	7		
	104 280 204 140 Multiple dependent claim, if not paid	(37 CFR § 1.129(a))	_]]		
	109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1 129(b))			
ı	110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)			
	and over original patent	169 900 169 900 Request for expedited examination			
	SUBTOTAL (2) (\$)1194.00	of a design application Other fee (specify)	<u> </u>		
	**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00			

SUBMITTED BY			Complete (r	f applicable)
Name (Print/Type)	Charles E. Qunlap	Registration No. (Attorney/Agent) 35,124	Telephone	864-250-2238
Signature	all Into		Date	02/21/2002
	MARINIO L			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.